



Always Designing  
for People™

A photograph of two men in business suits sitting on a red sofa. The man on the left is pointing at a document held by the man on the right. In the foreground, a white round table holds a laptop and two glasses of water. The background is a modern office interior with large windows.

# ADP Retirement Services Form 5500 Enhancements

*Compliance solutions  
designed for you*



# Keeping a retirement plan compliant can be a challenge.

Reviewing, updating and filing your Form 5500 can be time consuming, complicated, and confusing.

ADP understands these challenges and the strain it places on your productivity. That's why we developed a user experience that transforms cumbersome and time-consuming compliance tasks, by using powerful technology to simplify and guide you through the process. We've enhanced your 5500 filing process by pre-populating plan data and company information and delivering a guided, online filing experience saving you time and helping to reduce potential errors.

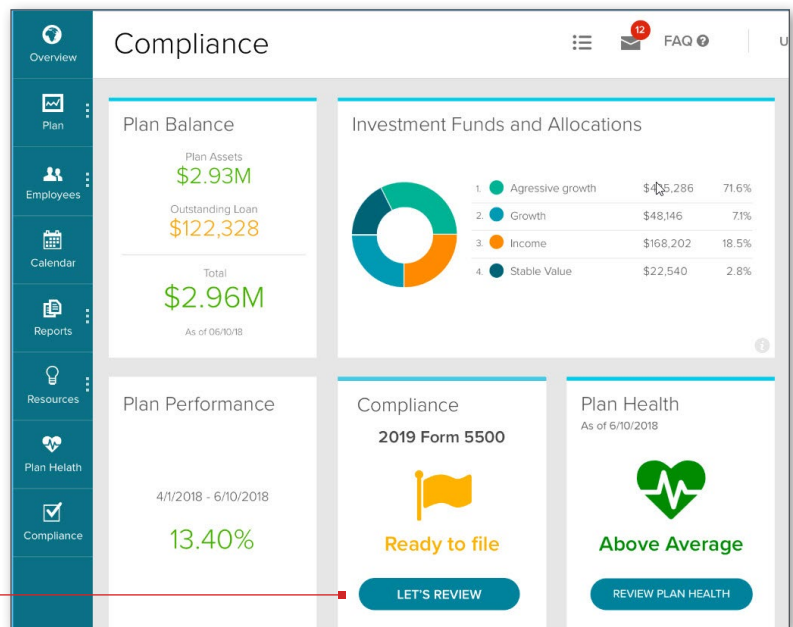
## A radically simplified process...



## Better tools put you in control of your compliance

ADP has designed a better way to to file your 5500 annual report. From the plan sponsor website, access a well-organized dashboard that simplifies the work associated with 5500 reporting..

Click **Let's Review** on the Compliance Tile to access the dashboard and begin the process of filing your plan's Form 5500.



# Compliance Dashboard

## Review and update business and plan data

The dashboard makes reviewing and/or revising data easy:

- Review and confirm accuracy of company information and business code
- Confirm whether the plan has made late contributions

**2019 Form 5500-SF**

Confirm Details

Detail Summary

**Please confirm this information is correct.**

Plan Name: Doggy Food Inc. 401k Plan  
IRS Plan Number: 001  
Effective Date: 12/31/2011  
Filing Type: 5500-SF

**Company Information**  
As of the today's date  
15 Cansch Drive  
Austin, TX 12345  
(555) 867-5309  
xxxx@yyy.com  
EIN: 12-3456789

**Business Code**  
This is used by the DOL to classify a business by the type of activity in which it is engaged in.  
31110  
Animal Food Mfg

**Late Contributions**  
Money that was contributed to the plan later than it should have been (i.e. Payroll deduction that isn't deposited timely.)  
No

Previous Save for Later Next

**2019 Form 5500-SF**

Confirm Details

Plan Summary

Your Documents

**Let's review your information**  
Here's a summary of what ADP has for your 2019 plan information.

**Financial Summary**

Beginning year total plan assets: \$1,234,567 Show details

End of year total plan assets: \$1,534,567

Participant loan balance: \$0

**Participant Detail** DOWNLOAD LIST

Beginning of Plan Year Count: # End of Plan Year Count: #

Previous Next

## Review financial summary and participant detail

- Verify that total plan assets and participant count are accurate
- Download a participant report to confirm those included in the count

## Receive notification of a filing error

You will be informed if there has been a filing error due to:

- Issue with EFAST2 filing credentials
- Late submission of the Form 5500
- Missing or inconsistent information

**Compliance**

Overview

Plan

Employees

Calendar

Reports

Resources

Plan Health

Compliance

**Plan Balance**

Plan Assets: \$2.93M

Outstanding Loan: \$122,328

Total: \$2.96M  
As of 06/10/18

**Investment Funds and Allocations**

1. Aggressive growth: \$435,286 (71.6%)

2. Growth: \$48,146 (7.1%)

3. Income: \$168,202 (18.5%)

4. Stable Value: \$22,540 (2.8%)

**Plan Performance**

4/1/2018 - 6/10/2018

13.40%

**Compliance**

2019 Form 5500

Filing error

VIEW DETAILS

**Plan Health**

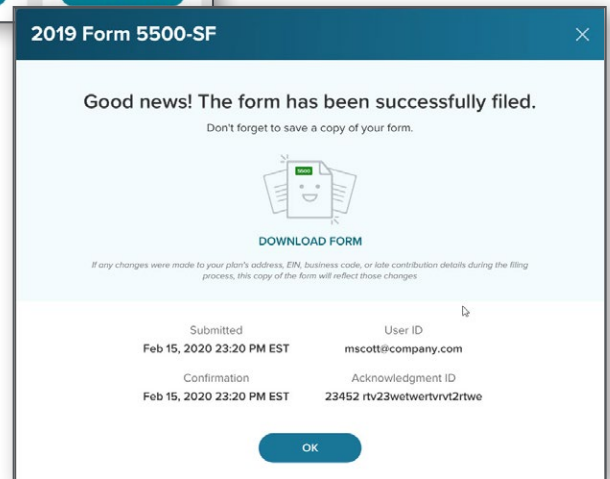
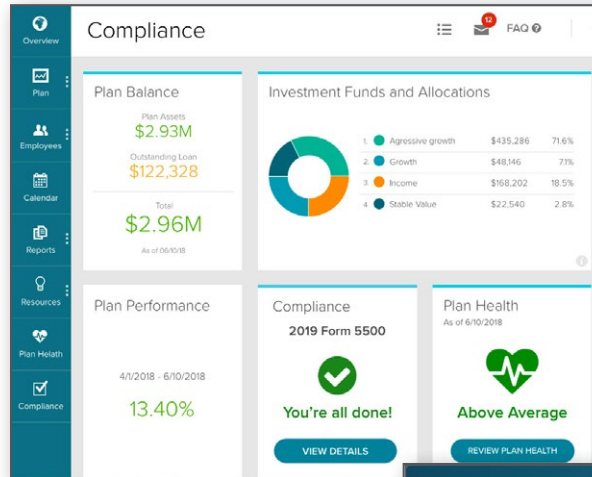
As of 6/10/2018

Above Average

REVIEW PLAN HEALTH

**Notification that the Form 5500 has been successfully submitted**

- Ability to download and save a copy of your 5500 form



**We're designing for you. We're designing for results.**

Simplifying complex processes is at the core of what we do. From the very beginning, ADP's objective has been to help employers get better business results by making work easier. That's why we make complex administrative tasks easy — helping you optimize your time and resources. Discover how easy it can be to keep your plan compliant and be more productive with ADP.

For more information about ADP retirement plan solutions and how they can meet the needs of your workforce, please contact your Retirement Services District Manager or visit [www.adp.com/401k](http://www.adp.com/401k).

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